

1 **Observations of an Inertial Peak in the Intrinsic**
2 **Wind Spectrum Shifted by Rotation in the**
3 **Antarctic Vortex**

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Abstract

23 Spectral analyses of time series of zonal winds derived from locations of balloons drifting
24 in the southern hemisphere polar vortex during the VORCORE campaign of the
25 STRATÉOLE program reveal a peak with a frequency near 0.10 hr^{-1} , more than 25%
26 higher than the inertial frequency at locations along the trajectories. Using balloon data
27 and values of relative vorticity evaluated from the Modern Era Retrospective-analyses for
28 Research and Applications (MERRA), we find that the spectral peak near 0.10 hr^{-1} can be
29 interpreted as due to inertial waves propagating inside the Antarctic polar vortex. In
30 support of our claim, we examine the way in which the low-frequency part of the gravity
31 wave spectrum sampled by the balloons is shifted due to effects of the background flow
32 vorticity. Locally, the background flow can be expressed as the sum of solid body
33 rotation and shear. We demonstrate that while pure solid body rotation gives an effective
34 inertial frequency equal to the absolute vorticity, the latter gives an effective inertial
35 frequency that varies, depending on the direction of wave propagation, between limits
36 defined by the absolute vorticity plus or minus half of the background relative vorticity.

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1. Introduction

39 In an atmosphere at rest, the frequency spectrum of internal gravity waves is bounded
40 above by the Brunt-Väisälä frequency and below by the inertial frequency given by the
41 local value of the Coriolis parameter $f = 2\Omega \sin \varphi$, where φ is latitude and Ω is the
42 angular speed of the Earth's rotation (24 hour)⁻¹. Inertial gravity waves with lower
43 frequencies are evanescent and have very short attenuation lengths (Eckart 1960).

44 Atmospheric measurements in Earth-fixed coordinate frames, e.g., time series of
45 stratospheric winds and electric fields, typically show a spectral peak near the inertial
46 frequency (Thompson 1978; Sidi and Barat 1986; Hu and Holzworth 1997). In some
47 cases, however, spectral peaks have been observed at frequencies ~3-20% higher than the
48 inertial frequency. The source of these frequency shifts is not yet fully understood; it has
49 been suggested that Doppler and stratification effects contribute to the shifts (Mori et al.
50 1990). It has also been suggested that rotational effects originating the vorticity of the
51 background flow might contribute to higher inertial frequencies [Kunze, 1985; Jones
52 2005; Lee and Eriksen, 1997] and that these effects might be instrumental in trapping
53 near inertial waves in vorticity minima (centers of anticyclonic motion) [Lee and Eriksen,
54 1997]. Plougonven and Zeitlin [2005] suggest a source of near inertial waves generated
55 by the geostrophic adjustment process.

56 Shifts in the spectral peak have also been reported in the spectrum of horizontal velocity
57 inferred from location time series of three super-pressure balloons (SPBs) released during
58 the Arctic Kiruna 2002 Campaign (Broutman et al. 2004, Hertzog and Vial 2001). The

59 spectral peak for two of the balloons in that campaign was slightly higher (5-10%) than
60 the inertial frequency (Hertzog et al. 2002). These shifts cannot be due to Doppler effects
61 since measurements made on drifting balloons are recorded in a quasi-Lagrangian
62 reference frame. The spectrum corresponding to a third balloon in the Arctic Kiruna 2002
63 campaign lacked the near-inertial spectral peak, a feature attributed to differences in the
64 magnitude of meridional excursions, implying significant variations of the Coriolis
65 parameter along that balloon's trajectory.

66 In the present paper we examine the spectrum of zonal wind speeds derived from location
67 time series of SPBs released in the lower stratosphere during the austral spring of 2005
68 by the VORCORE Antarctic campaign. One of VORCORE's principal objectives was an
69 improved understanding of the gravity wave field in the Antarctic polar vortex. The SPBs
70 released by VORCORE drifted for several months at two isopycnic levels corresponding
71 approximately to either 50 hPa or 70 hPa. The inertial frequencies corresponding to the
72 latitudes of the SPB locations range from 0.075 hr^{-1} at 65°S to 0.083 hr^{-1} at 90°S (based
73 on $f = 2\Omega \sin \varphi$). However, the data analysis obtains a spectral peak near 0.10 hr^{-1} , more
74 than 25% higher than the largest value of the inertial frequency. We suggest that such a
75 shift to higher frequencies in the spectral peak is consistent with the effects of the relative
76 vorticity of the background flow on the inertial gravity wave field. The effects of the
77 background relative vorticity cannot be ignored in the dispersion relation of the gravity
78 wave field. For example, it has been shown that for some specified configurations of the
79 background flow the lower bound of the inertial wave spectrum is shifted by a substantial
80 fraction of the relative vorticity (Kunze 1985; Kunze and Boss 1998; Jones, 2005). We
81 study these effects for the background flows in which the SPBs drifted during the

82 VORCORE campaign. Our principal finding is that the spectral peak near 0.10 hr^{-1} can be
 83 interpreted as due to inertial waves influenced by the relative vorticity of the background
 84 flow inside the Antarctic polar vortex. We show that features of the observed spectra are
 85 consistent with relative vorticity expressed as the sum of solid body rotation and shear.

86 An outline of the paper is as follows. We begin with an overview of the effects of
 87 rotation on the gravity wave dispersion relation and the location of the inertial peak
 88 (effective inertial frequency). Next, we describe the data sets used, present the results of
 89 the data analysis, and compare the observed spectra with the calculated effective inertial
 90 frequency which has contributions from both curvature and shear in the vortex. A
 91 discussion of the results and limitations of the analysis concludes the paper. A detailed
 92 analysis of how relative vorticity influences the effective inertial peak for waves
 93 propagating in a rotating and sheared background is given in the appendix.

94 2. Theory of inertial gravity waves in rotational background 95 flow

96 In a background state at rest, the approximate dispersion relation for low frequency
 97 gravity waves is

$$98 \quad m^2 = \frac{N^2}{\omega^2 - f^2} k^2 - \frac{1}{4H^2} \quad (1)$$

99 Here m is the vertical wavenumber, k is the horizontal wavenumber, ω is wave frequency,
 100 N^2 is the square of the Brunt-Väisälä frequency, and H is the scale height. For $\omega < f$, m^2
 101 is less than zero and the wave is evanescent. The inertial-gravity wave spectrum is cut off
 102 for frequencies below f .

103 In a background state not at rest, its effects on the gravity wave dispersion relation
 104 are considered in two steps. The first step includes translational effects on wave
 105 frequency by replacing ω with the intrinsic frequency $\omega_I = k(c - U_k)$, where c is the
 106 phase speed, k is the magnitude of the horizontal wavenumber vector \mathbf{k} , and U_k is the
 107 component of the background flow projected on \mathbf{k} . The frequency seen by a drifting
 108 balloon is approximately the intrinsic frequency (Hertzog and Vial 2001).

109 The second step includes rotational effects. This is illustrated for the simple case in
 110 which the background velocity field is constant angular velocity $\bar{\Omega} = (\bar{\zeta}/2)\hat{z}$, where $\bar{\zeta}$ is
 111 the background vorticity assumed to be constant and \hat{z} is the vertical unit vector around
 112 the vertical axis (solid body rotation). In a frame of reference rotating with the flow, and
 113 hence with angular velocity $\bar{\Omega} = (\bar{\zeta}/2)\hat{z}$, the horizontal velocity in reference to Earth, \mathbf{u} ,
 114 is given by

115

$$116 \quad \mathbf{u} = \mathbf{\Omega}' \times \mathbf{r}' \quad (2)$$

117 where \mathbf{u}' is the deviation from the background flow, and \mathbf{r}' is the position vector. The
 118 fluid acceleration in reference to Earth $d\mathbf{u}/dt$ is given by

$$119 \quad \frac{d\mathbf{u}}{dt} = \frac{\hat{d}\mathbf{u}'}{dt} + 2\bar{\Omega} \times \mathbf{u}' + \bar{\Omega} \times \bar{\Omega} \times \mathbf{r}' \quad (3)$$

120 where $\hat{d}\mathbf{u}'/dt$ is the relative acceleration. The second term on the right of (3) is a
 121 Coriolis-like term, which in the equations of motion adds to the Coriolis term arising
 122 from the rotation of the Earth yielding $(f + \bar{\zeta})\hat{z} \times \mathbf{u}'$. Thus, for such an observer moving

123 with the background flow, such as a drifting balloon, one would have an effective
 124 frequency f_{eff} given by

$$125 \quad f_{eff} = f + \bar{\zeta} \quad (4)$$

126 To examine how the dispersion relation is changed we consider the equations of
 127 motion in the Earth-fixed frame. These are

$$128 \quad \frac{du}{dt} = \frac{Du}{Dt} - \frac{w}{r} = f v - \frac{1}{\rho} \frac{\partial p}{\partial x} \quad (5)$$

$$129 \quad \frac{dv}{dt} = \frac{Dv}{Dt} + \frac{u^2}{r} = -f u - \frac{1}{\rho} \frac{\partial p}{\partial y} \quad (6)$$

130 where $D/Dt = \partial/\partial t + u\partial/\partial x + v\partial/\partial y + w\partial/\partial z$, u , v and w are, respectively, the
 131 azimuthal, radial and vertical components of the velocity, ρ is density and p is pressure.
 132 Also, x and y are, respectively, the curvilinear coordinates defined by $dx = rd\theta$
 133 and $dy = dr$, where r , θ and z are, respectively, the radial, azimuthal and vertical
 134 coordinates. Let the horizontal background flow be in solid body rotation as above and
 135 write the equations of motion for a system rotating with the angular speed of the
 136 background flow. The linearized forms of (5) and (6) are

$$137 \quad \frac{\bar{D}u'}{Dt} + w' \frac{\partial \bar{u}}{\partial z} = (f + 2\bar{\Omega})v' - \frac{1}{\bar{\rho}} \frac{\partial p'}{\partial x} \quad (7)$$

$$138 \quad \frac{\bar{D}v'}{Dt} = -(f + 2\bar{\Omega})u' - \frac{1}{\bar{\rho}} \frac{\partial p'}{\partial y} \quad (8)$$

139 where $\bar{D}/Dt = \partial/\partial t + \bar{u}\partial/\partial x$, overbars denote the background flow in solid body
 140 rotation and primes denote departures therefrom. For this system, the dispersion relation

141 based on the full quasi-static system that includes the heat and continuity equations (see
 142 the Appendix) is

$$143 \quad m^2 = \frac{N^2}{\omega_l^2 - f_{eff}^2} k^2 - \frac{1}{4H^2} \quad (9)$$

144 where f_{eff} is given by (4), and $\overline{D}/Dt = \omega_l$ where ω_l is the intrinsic frequency.

145 For $\omega_l^2 < f_{eff}^2$, m^2 is negative and the wave is evanescent. Thus the inertial-gravity
 146 wave spectrum measured by a balloon is cut off for intrinsic frequencies below the
 147 effective inertial frequency.

148 We show in the appendix that for a more general combination of shear and
 149 curvature $\overline{\zeta}_a - \overline{\zeta}/2 \leq f_{eff} \leq \overline{\zeta}_a + \overline{\zeta}/2$, where $\overline{\zeta}_a = f + \overline{\zeta}$ is the absolute vorticity of the
 150 background flow. For pure shear (locally approximated as linear shear) with no curvature,
 151 wave motion normal to the direction of shear gives $f_{eff} = \overline{\zeta}_a - \overline{\zeta}/2$, while motion along
 152 the direction of shear gives $f_{eff} = \overline{\zeta}_a + \overline{\zeta}/2$. When the motion approaches a direction
 153 midway between these two extremes $f_{eff} \rightarrow \overline{\zeta}_a$. This is to be compared with the result of
 154 Kunze (1985) where $f_{eff} \approx \overline{\zeta}_a - \overline{\zeta}/2$ irrespective of wave direction. Pure solid-body
 155 rotation gives $f_{eff} = \overline{\zeta}_\omega$ in agreement with (5). Accordingly, for measurements made on a
 156 balloon drifting with the wind, we expect the spectra derived from an ensemble of waves
 157 with a range of directionality to show peak energy between $\omega_l = \overline{\zeta}_a - \overline{\zeta}/2$ and
 158 $\omega_l = \overline{\zeta}_a + \overline{\zeta}/2$ rather than near f .

159 3. Data Description

160 Beginning on 5 September 2005, the VORCORE campaign released nineteen SPBs with
161 10 m diameters and eight balloons with 8.5 m diameters from McMurdo, Antarctica
162 (77.5° S, 166.4° E); they drifted near 50 hPa and 70 hPa, respectively. The mean flight
163 duration of the twenty-seven balloons was 59 days and the longest flight duration was
164 109 days (see Hertzog et al. 2007).

165 Each SPB carried temperature and pressure sensors and a Global Positioning System
166 (GPS) receiver. Balloon positions were recorded every 15 minutes with a position
167 accuracy of 15 m so that wind speeds could be estimated with accuracy greater than 0.2
168 m s^{-1} (Hertzog et al. 2007). The geographical sampling of the Antarctic vortex core was
169 very good with best sampling in the 60° S- 80° S latitude band and 60° W- 120° E
170 longitude sector since the vortex had a tendency to be centered off the pole towards South
171 America (Hertzog et al. 2007).

172 Evaluation of general conditions in the polar vortex, required to understand and
173 interpret balloon data, was done using the Modern Era Retrospective-analyses for
174 Research and Applications (MERRA) tool produced by NASA. MERRA products are
175 produced at 3 hour intervals with a spatial resolution of $\frac{1}{2}$ degree latitude, $\frac{2}{3}$ degree
176 longitude and 72 pressure levels to 0.01 hPa (approximately 80 km altitude). We compute
177 the absolute vorticity using the MERRA horizontal winds to obtain vorticity maps for
178 each 3-hour interval, then interpolate to find the absolute vorticity at the time and
179 location of each balloon measurement. An example vorticity map for 20 October, 2005
180 derived from MERRA horizontal winds at 52 hPa is presented in the top panel of Figure

181 1. The values of $\bar{\zeta}$ within the Antarctic polar vortex approach $-1 \times 10^{-4} \text{ s}^{-1}$, a significant
 182 fraction of f ($-1.4 \times 10^{-4} \text{ s}^{-1}$ at 70°S). An example showing the range of absolute vorticity
 183 (or effective inertial frequencies assuming pure solid body rotation) inside the vortex is
 184 shown in the bottom panel of Figure 1. Inside the vortex, the $|\bar{\zeta}_a|$ is typically $\sim 1.8 \times 10^{-4}$
 185 s^{-1} , approximately 25% higher than $|f|$ at these latitudes. Henceforth all references to
 186 values of $\bar{\zeta}$, $\bar{\zeta}_a$, f and f_{eff} , including minima and maxima are in the sense of the
 187 absolute values. Not also that in the following discussion results of the balloon analysis
 188 (FFT, wavelet) are given in cycles/s and vorticities in angular frequencies (which include
 189 a factor of 2π). This convention is chosen to be consistent with standard practice when
 190 discussing vorticity while also allowing intuitive conversion between frequency and
 191 period for wave data.

192 4. Data Analysis and Results

193 *a. Spectral analysis*

194 In this section we present a spectral analysis of zonal wind derived from SPB location
 195 data showing a frequency shift of the spectral peak. The SPB flights used in the analysis
 196 that follows spanned the October through November period, when, except for late
 197 November, the polar vortex remained well-defined and strong. In 2005, the vortex was
 198 very stable in September and October, moved off the pole in November and broke up in
 199 early December (Hertzog et al. 2007).

200 Zonal wind velocities were derived from the SPB measurements as described in
 201 Hertzog et al. (2007). Fourier analysis of the zonal wind velocity over two-week periods

202 was performed individually for each balloon and then averaged. The results, presented in
 203 Figure 2, show a distinct spectral peak at $\sim 0.10 \text{ hr}^{-1}$, well separated from the frequency of
 204 the semidiurnal tide (indicated by the green line), that persists from early October through
 205 November. The red hatched area shows the range of inertial frequencies f for all balloons
 206 in the two week period; effective inertial frequencies for pure solid body rotation,
 207 $f_{eff} = \bar{\zeta}_a$ for each two-week period are indicated by the black hatched area in Figure 2.
 208 For each two-week period considered, the spectral peak falls within the range of solid-
 209 body effective inertial frequencies, and generally lies outside the range of the frequencies
 210 found for f . The peak shifts toward the inertial frequency range in late December, which
 211 is consistent with the breakup of the vortex.

212 *b. Wavelet Analysis*

213 The intermittent nature of inertial waves suggests wavelet analysis as a means to explore
 214 the temporal behavior of the zonal wind spectra. The time series from each balloon was
 215 analyzed with Morlet wavelets in order to identify the spectral features as a function of
 216 time (Torrence and Compo 1998). The wavelet analysis confirmed that the dominant
 217 peak in the spectrum occurred near 0.1 hr^{-1} , as indicated by the Fourier analysis discussed
 218 above. The peak wavelet power was generally found to lie between $\sim 0.08 - 0.13 \text{ hr}^{-1}$.

219 Figure 3 shows the results of a wavelet analysis for three sample balloon trajectories.
 220 Also shown are the values of the inertial frequency at the balloon location f and the local
 221 value of $\bar{\zeta}_a$ (f_{eff} for the case of pure solid body rotation). Local values of $\bar{\zeta}_a$ are
 222 determined by interpolating MERRA vorticity to each balloon trajectory as a function of
 223 location and time at the 52 hPa pressure level. Figure 3 shows that there is significantly

224 better agreement between the location of the spectral peak and $\bar{\zeta}_a$ (black curves), than
 225 the frequency corresponding to f (red curves), particularly in October and early
 226 November. Several instances of significant spectral peaks on the low frequency side of f_{eff}
 227 seen in Balloon 2 during November, when a significant oscillation occurs near 20 hours
 228 (the lowest plotted frequency), is probably a manifestation of the diurnal tide.

229 There are a number of instances in Figure 3 for which a cutoff near $\bar{\zeta}_a$ is not
 230 apparent. Results of a statistical analysis to examine the difference between the
 231 frequency of the measured spectral peak and the local values of f are presented in Figure
 232 4. The frequency of maximum wavelet power is determined for each balloon
 233 measurement, e.g., the frequency of the peak wavelet power as a function of time for the
 234 wavelet spectra shown in Figure 3. The results, binned in 0.05 s^{-1} intervals, are presented
 235 in Figure 4, which shows the number of balloon measurements as a function of difference
 236 between measured peak frequency f_m and inertial frequency f . Note that wave period,
 237 rather than frequency, is plotted since the wavelet algorithm used for the spectral analysis
 238 returns wavelet power as a function of period rather than frequency and is the natural way
 239 to bin the results. Statistics are presented for each two-week period in October and
 240 November, since the wavelet analysis shown in Figure 3 suggests that the spectral peak
 241 diverges from pure solid body rotation $f_{eff} = \bar{\zeta}_a$ sometime in November.

242 The distribution of balloon measurements presented in Figure 4 shows peaks near
 243 ζ_a as well as peaks displaced somewhat from $\bar{\zeta}_a \pm \bar{\zeta}/2$ toward $\bar{\zeta}_a$. The spectral peak of
 244 zonal wind measurements for October is generally consistent with that expected for pure
 245 solid body rotation $\bar{\zeta}_a$ with secondary contributions near $\bar{\zeta}_a \pm \bar{\zeta}/2$. The vortex was

246 observed to weaken, deform and move off the pole during November. The main peak in
 247 the occurrence frequency shifts toward $\bar{\zeta}_a - \bar{\zeta}/2$ in the first half of November and in the
 248 second half the main part of the distribution is found between $\bar{\zeta}_a - \bar{\zeta}/2$ and $\bar{\zeta}_a$ with a
 249 slight bias toward $\bar{\zeta}_a - \bar{\zeta}/2$. In section 5 it is shown that the relative vorticity can be
 250 expressed as the sum of a solid body component and a shear component. The
 251 displacement away from $\bar{\zeta}_a$ is consistent with an increase in the relative contribution of
 252 the non-solid body component. The high-frequency tail is associated with power from
 253 sporadic high-frequency gravity waves that occasionally cause peak amplitudes at
 254 frequencies higher than the inertial frequency, and is consistent with the results from the
 255 Fourier analysis presented earlier in Figure 2.

256 5. Discussion

257 We show in the appendix that for a fairly general combination of shear and rotation
 258 $\bar{\zeta}_a - \bar{\zeta}/2 \leq f_{eff} \leq \bar{\zeta}_a + \bar{\zeta}/2$, where $\bar{\zeta}_a = f + \bar{\zeta}$ is the absolute vorticity of the background
 259 state. In a “natural” coordinate system where the x-axis is along the basic flow velocity
 260 vector and the y-axis is in the orthogonal direction consistent with a right-handed system
 261 the vorticity is written (Holton, 1972)

$$262 \quad \bar{\zeta} = U \frac{\partial \beta}{\partial s} - \frac{\partial U}{\partial y} \quad (10)$$

263 where $\partial \beta / \partial s$ is the rate of change of the angle β between the x-axis and the tangent to
 264 the streamline as a function of the distance s along the streamline. In terms of the local
 265 radius of curvature r

$$266 \quad \bar{\zeta} = \frac{U}{r} - \frac{\partial U}{\partial y} \quad (11)$$

267 where r is positive for cyclonic motion and U is the velocity component in the x-
268 direction.

269 Since the horizontal scale k^{-1} of typical gravity waves (~a few tens of kilometers or
270 less) is much less than the scale of variation of the background flow (~ a few hundred
271 kilometers or more) we can expand the wind field to first order using a Taylor expansion
272 of the rotational part of the wind field at the balloon position as $U_{\zeta}(y) = U_0 + Ay$ and
273 $V_{\zeta}(x) = Bx$, whence

$$274 \quad \bar{\zeta} = B - A \quad (12)$$

275 When $A = -a$ and $B = a$ one obtains the result for solid body rotation $\bar{\zeta} = 2a$ (see
276 Appendix). In terms of (11), $a = (U/r)_0$ and $\bar{\zeta} = 2(U/r)_0$. More generally we can write
277 $A = a + \delta a$ and express (11) in terms of the sum of vorticity from solid body rotation and
278 the excess shear in addition to the shear that is consistent with solid body rotation as
279 follows

$$280 \quad \bar{\zeta} = 2\frac{U}{r} + \delta\bar{\zeta} \quad (13)$$

281 where $\delta\bar{\zeta} = -\delta a = -(U/r + \partial U/\partial y)_0$.

282 In the appendix we show that for pure shear with no curvature, wave motion
283 normal to the direction of shear gives $f_{eff} = \bar{\zeta}_a - \bar{\zeta}/2$, while wave motion along the
284 direction of shear gives $f_{eff} = \bar{\zeta}_a + \bar{\zeta}/2$. When the wave motion approaches a direction

285 midway between these two extremes $f_{eff} \rightarrow \bar{\zeta}_a$. This is to be compared with the result of
 286 Kunze (1985) where $f_{eff} \approx \bar{\zeta}_a - \bar{\zeta}/2$, irrespective of the direction of wave motion. Pure
 287 solid body rotation gives $f_{eff} = \bar{\zeta}_a$ in agreement with (4). Accordingly, for measurements
 288 made on a balloon drifting with the wind, we expect the spectra derived from an
 289 ensemble of waves with a range of directionality to show peak energy between
 290 $\omega_l = \bar{\zeta}_a - \bar{\zeta}/2$ and $\omega_l = \bar{\zeta}_a + \bar{\zeta}/2$ rather than near f .

291 An example of the relative contribution from solid-body rotation and shear excess is
 292 presented for 20 October, 2005 in Figure 5. The total vorticity for this date was depicted
 293 previously in Figure 1. The top two panels of Figure 5 show the curvature and shear
 294 vorticity, U/r and $\partial U/\partial y$, respectively, as discussed earlier in this section. The
 295 contribution from solid body rotation $2U/r$ is shown in the lower left panel of Figure 5
 296 and the excess shear vorticity $-(\partial U/\partial y + U/r)$ in the lower right panel. Clearly, on this
 297 date the dominant component of the relative vorticity is from solid body rotation, which
 298 suggests an effective inertial frequency near $\bar{\zeta}_a$.

299 The temporal change of the effective inertial frequency depicted in Figure 4 suggests
 300 that the relative contributions of solid body and shear vorticity change between the
 301 October and November observation periods. A statistical analysis of the ratio of the solid
 302 body to shear vorticity for each two week time period is presented in Figure 6. Solid
 303 body and shear vorticity were calculated from MERRA data, and then interpolated to the
 304 balloon locations. Figure 6 shows the percentage of balloon measurements having the
 305 indicated solid body to shear vorticity ratio. The solid body component clearly dominates
 306 throughout October, where nearly 14% of the balloon observations showed dominant

307 solid body rotation, as compared to approximately 4% of observations for which the
 308 shear component of the vorticity dominates. From this, it would be expected that the
 309 main peak in the occurrence frequency for the effective inertial frequency for October
 310 would be shifted only slightly off $\bar{\zeta}_a$, as is shown in Figure 4. The existence of two
 311 dominant peaks, one centered near zero and the other near -2, is informative. The first
 312 peak is consistent with large shear and negligible curvature. These conditions can exist
 313 near the boundaries of a vortex where, even though the curvature may not be small, the
 314 shear may be very large in comparison (see Figure 5). The second peak corresponds to
 315 negligible excess shear. These conditions are consistent with conditions that cover more
 316 extensive areas in the central part of the vortex.

317 By the end of November, however, the relative contributions of solid body and shear
 318 vorticity are nearly equal, with the average value of the effective inertial frequency
 319 expected to be shifted away from $\bar{\zeta}_a$ toward either $\bar{\zeta}_a - \bar{\zeta}/2$ or $\bar{\zeta}_a + \bar{\zeta}/2$ depending on
 320 wave directionality. The statistics presented in Figure 4 show that the former is favored.
 321 The results presented in Figure 4 suggest that a large fraction of the waves measured by
 322 the balloons are propagating in a direction not too different from that of the wind. The
 323 shift toward $\bar{\zeta}_a - \bar{\zeta}/2$ is consistent with the wavenumber vector aligned with the wind in
 324 laterally sheared flow, such as near the boundaries of the vortex. An inspection of the
 325 balloon trajectories indicates that balloons tend to be found near the vortex boundary
 326 much of the time in late November. These comparisons show that the solid-body rotation
 327 component dominates the absolute vorticity for much of October, but by early November
 328 the excess shear component becomes stronger as the vortex deforms and weakens.

329 6. Summary and conclusions

330 We have applied spectral methods to analyze wind fields from SPB measurements, and
 331 used vorticity fields from the MERRA analysis to identify and interpret spectral features
 332 of low-frequency inertial gravity waves recorded by VORCORE balloons in the Antarctic
 333 stratosphere. Balloon spectra were derived using both Fourier and wavelet analyses. We
 334 have shown that the spectral peak of wind measurements made on balloons drifting with
 335 the wind is shifted to frequencies more than 25% higher than the local inertial frequency.
 336 Frequency shifts have been reported in other works but were either made in a non-
 337 intrinsic frame (Mori et al., 1990) or showed significantly smaller shifts (Hertzog et al.,
 338 2002). The exceptionally strong Antarctic polar vortex allowed identification of the peak
 339 as that corresponding to a shift in the inertial frequency f (Coriolis parameter) by the
 340 relative vorticity $\bar{\zeta}$. We interpret f_{eff} as the inertial frequency in a coordinate frame
 341 moving with the basic flow.

342 We study the case in which the flow locally can be written as the superposition of
 343 solid body rotation and simple shear. The solid body contribution gives $f_{eff} = f + \bar{\zeta}$
 344 independent of wave directionality. The shear contribution gives values
 345 $\bar{\zeta}_a - \frac{1}{2}\bar{\zeta} \leq f_{eff} \leq \bar{\zeta}_a + \frac{1}{2}\bar{\zeta}$ depending on wave direction. Our observations are consistent
 346 with a spectrum of waves contributing to a spread of f_{eff} , with the distribution broadly
 347 consistent with $f_{eff} \approx \bar{\zeta}_a$ during October and early November, but peaked closer to
 348 $f_{eff} \approx \bar{\zeta}_a - \frac{1}{2}\bar{\zeta}$ in late November as the vortex weakened.

349 A possible source for a peak at frequencies higher than f is waves generated by
350 fronts and jets. These waves have frequencies near $1.4 f$ [O'Sullivan and Dunkerton,
351 1995; Plougonven and Snyder 2005a,b]. However these frequencies are significantly
352 higher than the inertial peak we observe. We have examined the possibility that the 0.1
353 hr^{-1} peak is representative of the semidiurnal tide Doppler shifted by balloon motion, but
354 found this effect to be too small to account for the observed shift. Nor do we find the
355 large-scale coherency expected for a tide. Finally, we have examined pressure variations
356 and find minimal power in the $0.08\text{-}0.12 \text{ hr}^{-1}$ band (not shown here). This is a
357 characteristic feature of inertial waves.

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366

367 APPENDIX

368 In this appendix we derive expressions for the inertial frequency in a flow combining
369 rotation and linear shear. The latter should give a reasonable representation of the shear
370 experienced by inertial gravity waves in a slowly spatially varying background wind

371 field. We show that depending on the flow configuration and wave directionality

372 $f_{eff} = \bar{\zeta}_a + \frac{1}{2}n\bar{\zeta}$, where $n = -1, 0, 1$ and where $\bar{\zeta}_a = f + \bar{\zeta}$ is the absolute vorticity.

373 Before we proceed to consider inertia gravity waves in a stratified atmosphere we

374 consider the simple case of solid body rotation for pure inertial waves in Cartesian

375 geometry.

376 *1. Inertial waves in solid body rotation (Cartesian formulation)*

377 In Cartesian coordinates cyclonic solid body rotation is given by $U(y) = U_0 - ay$ and

378 $V(x) = V_0 + ax$, where $\mathbf{U} = (U, V)$ is the basic horizontal flow. Pure inertial motion on a

379 background state in solid body rotation is given by

$$\begin{aligned}
 380 \quad & \frac{\partial u'}{\partial t} + U \frac{\partial u'}{\partial x} + V \frac{\partial u'}{\partial y} - (a + f)v' = 0 \\
 & \frac{\partial v'}{\partial t} + U \frac{\partial v'}{\partial x} + V \frac{\partial v'}{\partial y} + (a + f)u' = 0
 \end{aligned} \tag{1.1}$$

381 This generates the vorticity equation

$$382 \quad \frac{\bar{D}\zeta'}{Dt} + (f + \bar{\zeta})\delta' = 0 \tag{1.2}$$

383 and the divergence equation

$$384 \quad \frac{\bar{D}\delta'}{Dt} - (f + \bar{\zeta})\zeta' = 0 \tag{1.3}$$

385 where $\zeta = -\partial u/\partial y + \partial v/\partial x$, $\delta = \partial u/\partial x + \partial v/\partial y$,

$$386 \quad \frac{\bar{D}}{Dt} = \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} + V \frac{\partial}{\partial y} \tag{1.4}$$

387 and $\bar{\zeta} = 2a$. Elimination of the divergence between (2) and (3) gives

$$388 \quad \frac{\bar{D}^2 \zeta'}{Dt^2} + (f + \bar{\zeta})^2 \zeta' = 0 \quad (1.5)$$

389 whence

$$390 \quad \omega_l^2 = (f + \bar{\zeta})^2 \quad (1.6)$$

$$391 \quad \text{or } f_{eff} = f + \bar{\zeta} = \bar{\zeta}_a.$$

392 This agrees with the results obtained in section 4.

393 Note that in deriving (4) we assumed that the advective terms in (1.2) and (1.3) were
 394 locally constant. This differs from assuming that the advective terms are locally constant
 395 from the onset (Kunze , 1985). It is instructive to look at the vorticity equation when the
 396 advective terms in (1.1) are forced to be constant. One then obtains the incorrect result

$$397 \quad \frac{\bar{D} \zeta'}{Dt} + (f + \bar{\zeta}/2) \delta' = 0 \quad (1.7)$$

398 This example shows that when considering a system where rotational effects are
 399 important it is essential to work with equations that preserve the correct form of the
 400 vorticity equation. It also shows that assuming that the advection terms are locally
 401 constant in the divergence and vorticity equations (1.2) gives the correct result

$$402 \quad f_{eff} = f + \bar{\zeta}.$$

403 *2. Inertial gravity waves*

404 We work with the vorticity and divergence equations in lieu of the horizontal momentum
 405 equations for the reasons discussed in the previous section. The equations in the log-
 406 pressure system are (Andrews et al., 1987).

$$407 \quad \frac{\bar{D}\zeta'}{Dt} + (f + \bar{\zeta})\delta' = 0 \quad (2.1)$$

$$408 \quad \frac{\bar{D}\delta'}{Dt} - 2\bar{\zeta} \frac{\partial v'}{\partial x} - f\zeta' = -\nabla^2 \phi' \quad (2.2)$$

$$409 \quad \frac{\partial w'}{\partial z} - w' + \delta' = 0 \quad (2.3)$$

$$410 \quad \frac{\bar{D}}{Dt} \frac{\partial \phi'}{\partial z} + Sw' = 0 \quad (2.4)$$

411 where $z = -\log(p/p_0)$, $w = \dot{z}$, $S = RT \log \bar{\theta} / dz$, and $\phi' = g\eta'$ and where η' is the
412 disturbance height of pressure surfaces.

413 Let the velocity be written in terms of the stream function ψ and velocity
414 potential χ , whence

$$415 \quad u' = -\frac{\partial \psi'}{\partial y} + \frac{\partial \chi'}{\partial x} \quad (2.5)$$

$$416 \quad v' = \frac{\partial \psi'}{\partial x} + \frac{\partial \chi'}{\partial y} \quad (2.6)$$

417 This gives

$$418 \quad \frac{\bar{D}\nabla^2 \psi'}{Dt} + (f + \bar{\zeta})\nabla^2 \chi' = 0 \quad (2.7)$$

$$419 \quad \frac{\bar{D}\nabla^2 \chi'}{Dt} - 2\bar{\zeta} \frac{\partial}{\partial x} \left(\frac{\partial \psi'}{\partial x} + \frac{\partial \chi'}{\partial y} \right) - f\nabla^2 \psi' = -\nabla^2 \phi' \quad (2.8)$$

$$420 \quad \frac{\partial w'}{\partial z} - w' + \nabla^2 \chi' = 0 \quad (2.9)$$

421 To transform out the exponential growth with altitude one defines a new set of
 422 variables

$$423 \quad \xi' = \hat{\xi} \exp(z/2) \quad (2.10)$$

424 where ξ is any dependent variable. Then (2.9) becomes

$$425 \quad \left(\frac{\partial}{\partial z} - \frac{1}{2} \right) \hat{w} + \nabla^2 \hat{\chi} = 0 \quad (2.11)$$

426 and (2.4) becomes

$$427 \quad \frac{\bar{D}}{Dt} \left(\frac{\partial}{\partial z} + \frac{1}{2} \right) \hat{\phi} + S \hat{\mathbf{w}} = 0 \quad (2.12)$$

428 otherwise one simply replaces primes with carets in (2.7) and (2.8).

429 To obtain a dispersion relation we eliminate $\hat{\psi}$ in favor of $\hat{\chi}$ using (7), eliminate $\hat{\chi}$
 430 in favor of \hat{w} using (2.11) and finally eliminate $\hat{\phi}$ in favor of \hat{w} using (2.12). Assuming
 431 solutions of the form

$$432 \quad \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial x}, \frac{\partial}{\partial x}, \frac{\bar{D}}{Dt} \right) = (-ik, -il, -im, i\omega_l) \quad (2.13)$$

433 where m is the nondimensional vertical wavenumber in the log-pressure system gives the
 434 dispersion relation

$$435 \quad m^2 = \frac{S k_H^2}{\omega_l^2 - (f + \bar{\zeta})^2 - \bar{\zeta} (f + \bar{\zeta}) (k^2 - l^2) / k_H^2 + i 2 \omega_l \bar{\zeta} k l / k_H^2} - \frac{1}{4} \quad (2.14)$$

436 where $k_H^2 = k^2 + l^2$. We have assumed that $\bar{\delta} = 0$. For low-frequency waves for which

437 $m^2 \gg 1$, (2.14) may be written

438
$$m^2 = \frac{Sk_H^2}{\omega_l^2 - (f + \bar{\zeta})^2 - \bar{\zeta}(f + \bar{\zeta})(k^2 - l^2)/k_H^2 + i2\omega_l \bar{\zeta} kl/k_H^2} \quad (2.15)$$

439 The denominator in (2.14) and (2.15)

440
$$\Delta = \omega_l^2 - (f + \bar{\zeta})^2 - \bar{\zeta}(f + \bar{\zeta})(k^2 - l^2)/k_H^2 + i2\omega_l \bar{\zeta} kl/k_H^2 \quad (2.16)$$

441 is just the dispersion relation for pure inertial waves when $\Delta = 0$. This justifies the

442 simpler treatment when Δ is real. When Δ is complex we need the full dispersion

443 relation to interpret what this means (it does not mean that ω_l is complex).

444 *3. Inertial gravity waves in linear shear*

445 As in section 4, we approximate the shear over the dimensions of a pure inertial wave in

446 terms of linear shear. Let $U(y) = U_0 + ay$ and $V(y) = V_0$, where U_0 and V_0 are constants,

447 then

448
$$\frac{\bar{D}u'}{Dt} - (f - a)v' = 0 \quad (3.1)$$

$$\frac{\bar{D}v'}{Dt} + f u' = 0$$

449 The vorticity equation is

450
$$\frac{\bar{D}\zeta'}{Dt} + (f + \bar{\zeta})\delta' = 0 \quad (3.2)$$

451 The divergence equation is (Salmon, 1998)

452
$$\frac{\bar{D}\delta'}{Dt} - 2J(u, v) - f\zeta' = 0 \quad (3.3)$$

453 The linearized Jacobian is

454
$$J(u, v) = -\frac{\partial}{\partial y}(u' + U)\frac{\partial}{\partial x}(v' + V) = -\frac{\partial U}{\partial y}\frac{\partial v'}{\partial x} = \bar{\zeta}\frac{\partial v'}{\partial x} \quad (3.4)$$

455 Using (3.4) in (3.3) gives

456
$$\frac{\bar{D}\delta'}{Dt} - 2\bar{\zeta}\frac{\partial v'}{\partial x} - f\zeta' = 0 \quad (3.5)$$

457 We consider three special cases, viz., $\theta = 0, \pi/4, \pi/2$ corresponding to $l=0, k=l$ and $k=0$.

458 3.1. PROPAGATION NORMAL TO THE SHEARED DIRECTION

459 With $\partial/\partial y = -il = 0$, $\zeta' = \partial v'/\partial x$, and (3.5) becomes

460
$$\frac{\bar{D}\delta'}{Dt} - (f + 2\bar{\zeta})\zeta' = 0 \quad (3.6)$$

461 Eliminating the divergence between (3.2) and (3.6) gives

462
$$f_{eff} = \sqrt{(f + \bar{\zeta})(f + 2\bar{\zeta})} \approx (f + \bar{\zeta}) + \frac{1}{2}\bar{\zeta} \quad (3.7)$$

463 This agrees with (2.16) with $l = 0$ and $\Delta = 0$.

464 3.2. PROPAGATION ALONG THE SHEARED DIRECTION

465 With $\partial/\partial x = -ik = 0$, (3.5) becomes

466
$$\frac{\bar{D}\delta'}{Dt} - f\zeta' = 0 \quad (3.8)$$

467 Eliminating the divergence between (3.2) and (3.8) gives

468
$$f_{eff} = \sqrt{f(f + \bar{\zeta})} \approx (f + \bar{\zeta}) - \frac{1}{2}\bar{\zeta} \quad (3.9)$$

469 This agrees with (2.16) with $k = 0$ and $\Delta = 0$.

470 3.3. PROPAGATION AT 45° TO THE SHEARED DIRECTION

471 For this case we use the more general theory for inertial gravity waves for the same basic
472 state linear shear. Equation (2.16) may be rewritten in terms of wave direction as

$$473 \quad \Delta = \omega_l^2 - (f + \bar{\zeta})^2 + \bar{\zeta} (f + \bar{\zeta}) \cos 2\theta + i\omega_l \bar{\zeta} \sin 2\theta \quad (3.10)$$

474 where θ is the direction of propagation with respect to the x-axis (i.e., $\cos\theta = k/k_H$).

475 Propagation midway between propagation along and normal to the shear ($l = k$) gives

$$476 \quad \Delta = \omega_l^2 - (f + \bar{\zeta})^2 + i\omega_l \bar{\zeta} \quad (3.11)$$

477 In this case there is no singularity (value of ω_l for which $\Delta = 0$), rather there is a
478 minimum where the real part of m maximizes. The real part of m may be written as

$$479 \quad m_r = \frac{-S^{\frac{1}{2}} k_H}{\left[(\omega_l^2 - \bar{\zeta}_a^2)^2 + \omega_l^2 \bar{\zeta}^2 \right]^{\frac{1}{4}}} \cos(\alpha/2) \quad (3.12)$$

480 where $\alpha = \tan^{-1}(\omega_l \bar{\zeta} / (\omega_l^2 - \bar{\zeta}_a^2))$ and the minus sign is chosen to give upward energy
481 propagation. The maximum absolute value of m_r occurs for $\omega_l = \bar{\zeta}_a$ and

$$482 \quad m_r = \frac{-S^{\frac{1}{2}} k_H}{\sqrt{\bar{\zeta}_a \bar{\zeta}}} \cos(\pi/4) \quad (3.13)$$

483 For reasonable background values $m_r \sim 2 \times 10^2$ corresponding to very short vertical
484 wavelengths (a small fraction of a scale height). Such short wavelength near inertial
485 waves would almost certainly be absorbed by scale dependent diffusion (i.e., cutoff).

486 3.4. RELATION TO SOLID BODY ROTATION

487 Solid body rotation gives wave propagation in flow that is simultaneously sheared along
 488 and normal to the direction of propagation. If one averages the contribution from each
 489 direction using (3.7) and (3.9) one obtains $f_{eff} = f + \bar{\zeta}$, in agreement with the known
 490 result (see (1.6)).

491 3.5 RELATION TO APPROACH OF KUNZE (1985)

492 Kunze (1985) considered inertial gravity waves in sheared flow and obtained the result
 493 $f_{eff} \approx f + \bar{\zeta}/2$. The basic approach was to derive the dispersion relation by assuming at
 494 the onset that the advection terms were locally constant. We have seen that for solid body
 495 rotation this gives the result $f_{eff} = f + \bar{\zeta}/2$ when the known result is $f_{eff} = f + \bar{\zeta}$. For
 496 propagation normal to the shear the approach of Kunze (1985) gives

$$497 \quad \frac{\bar{D}\delta'}{Dt} - \bar{\zeta} \frac{\partial v'}{\partial x} - f\zeta' = 0 \quad (3.10)$$

498 Whence $f_{eff} = \sqrt{f(f + \bar{\zeta})} \approx f + \frac{1}{2}\bar{\zeta}$ in contrast to (3.7). For propagation along the shear
 499 the approach of Kunze (1985) also gives $f_{eff} \approx f + \bar{\zeta}/2$ in agreement with (3.9). As we
 500 have argued, solid body rotation is the average of the contributions from the shear in each
 501 direction. Thus the incorrect result for solid body rotation is consistent with the result
 502 $f_{eff} \approx f + \bar{\zeta}/2$ obtained independent of whether the direction of propagation is normal to
 503 or along the sheared direction.

504

504

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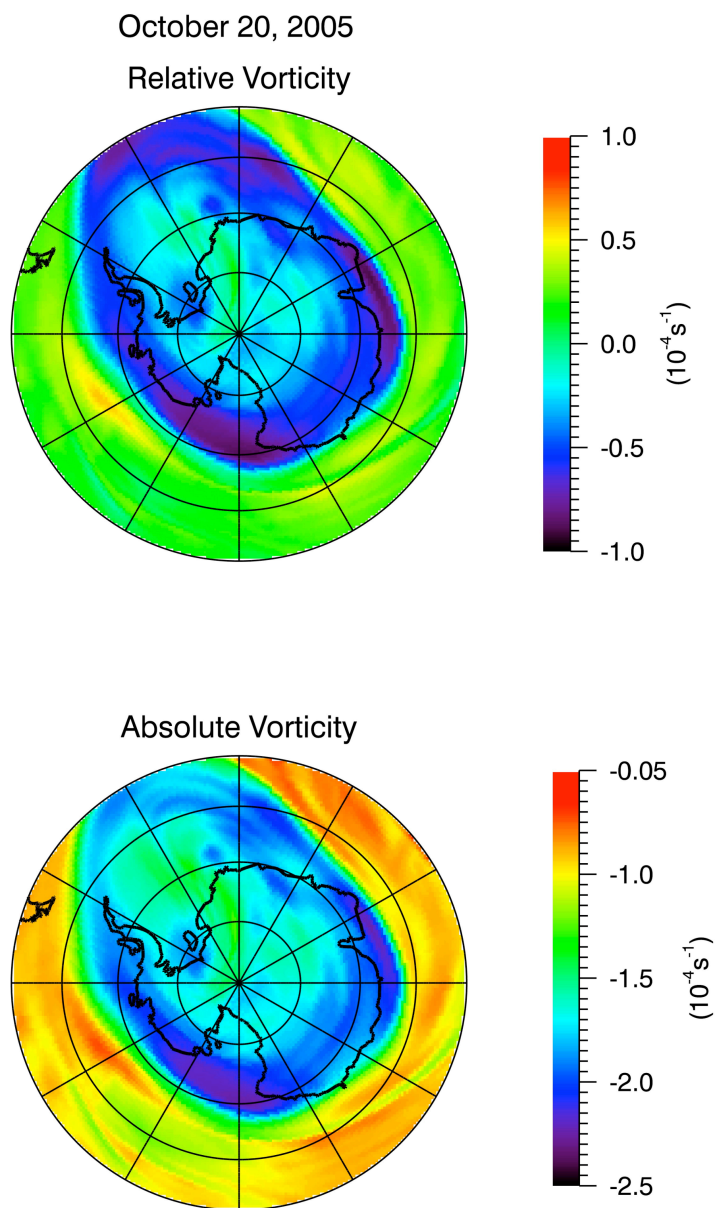
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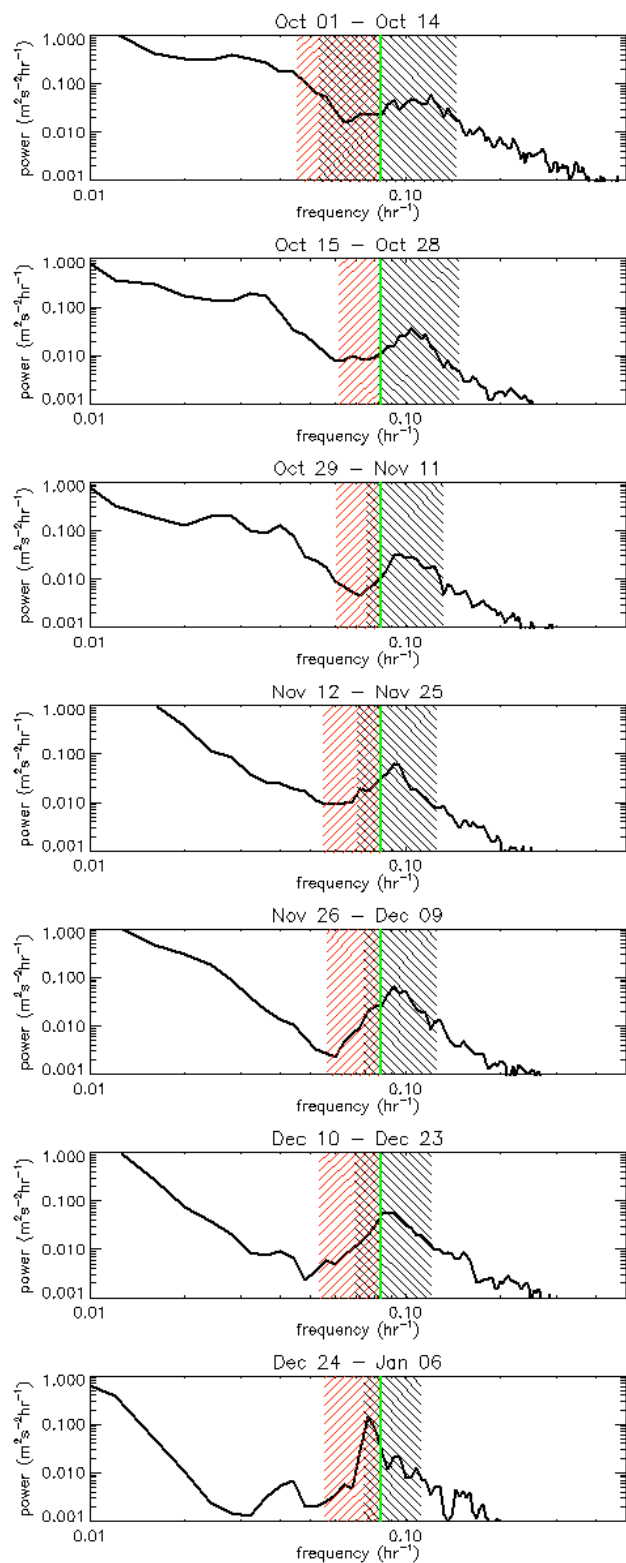


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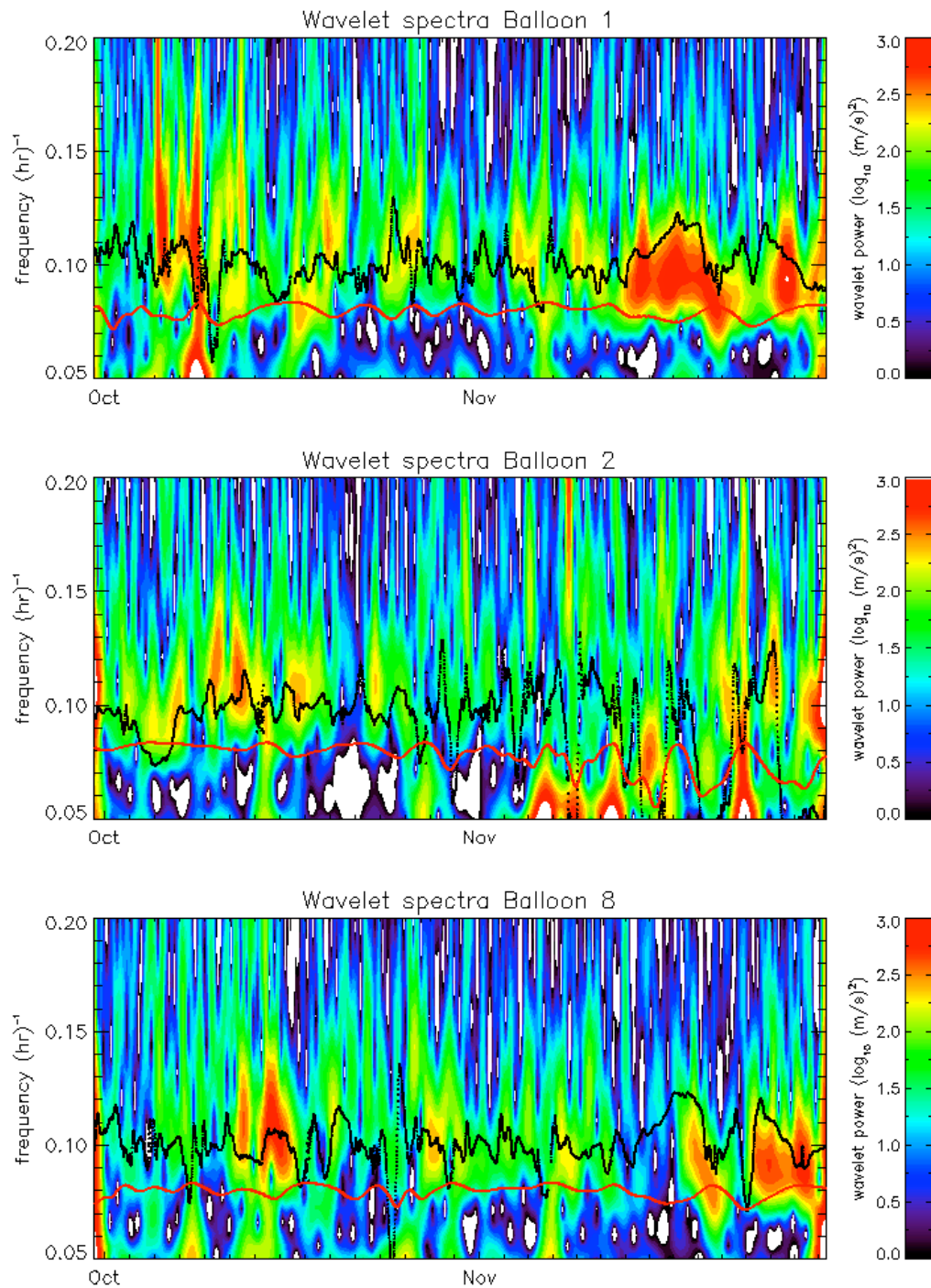
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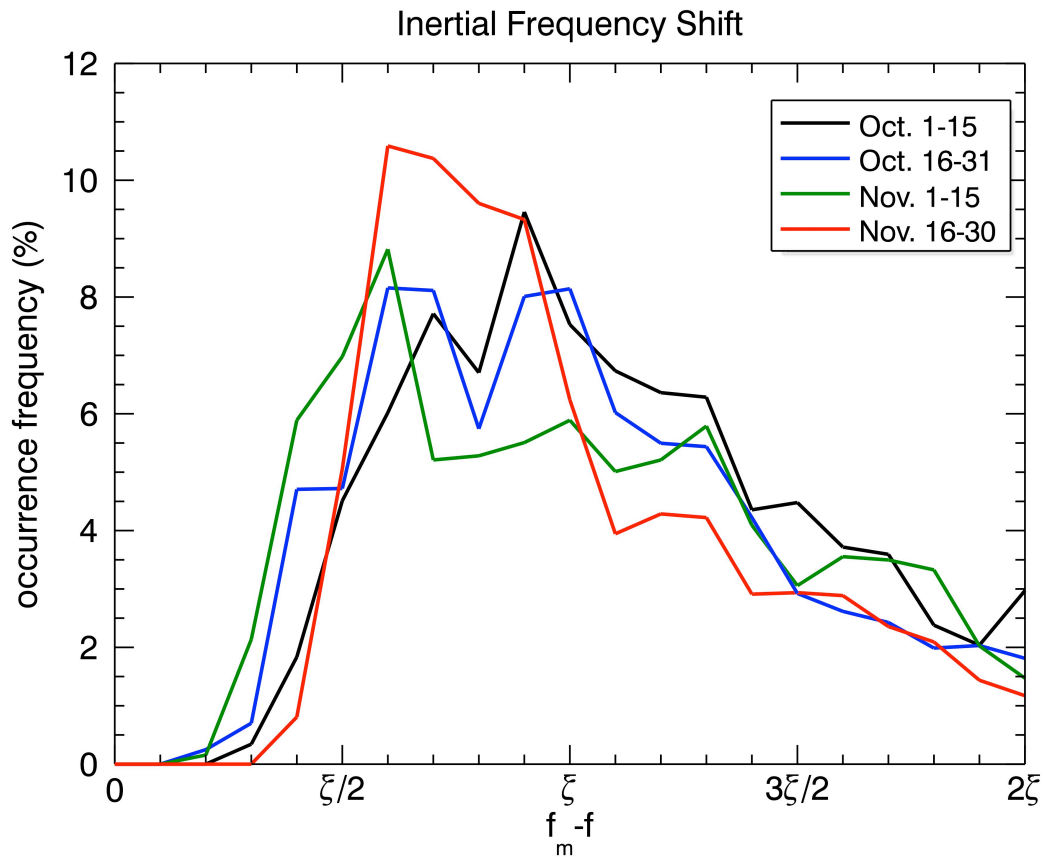


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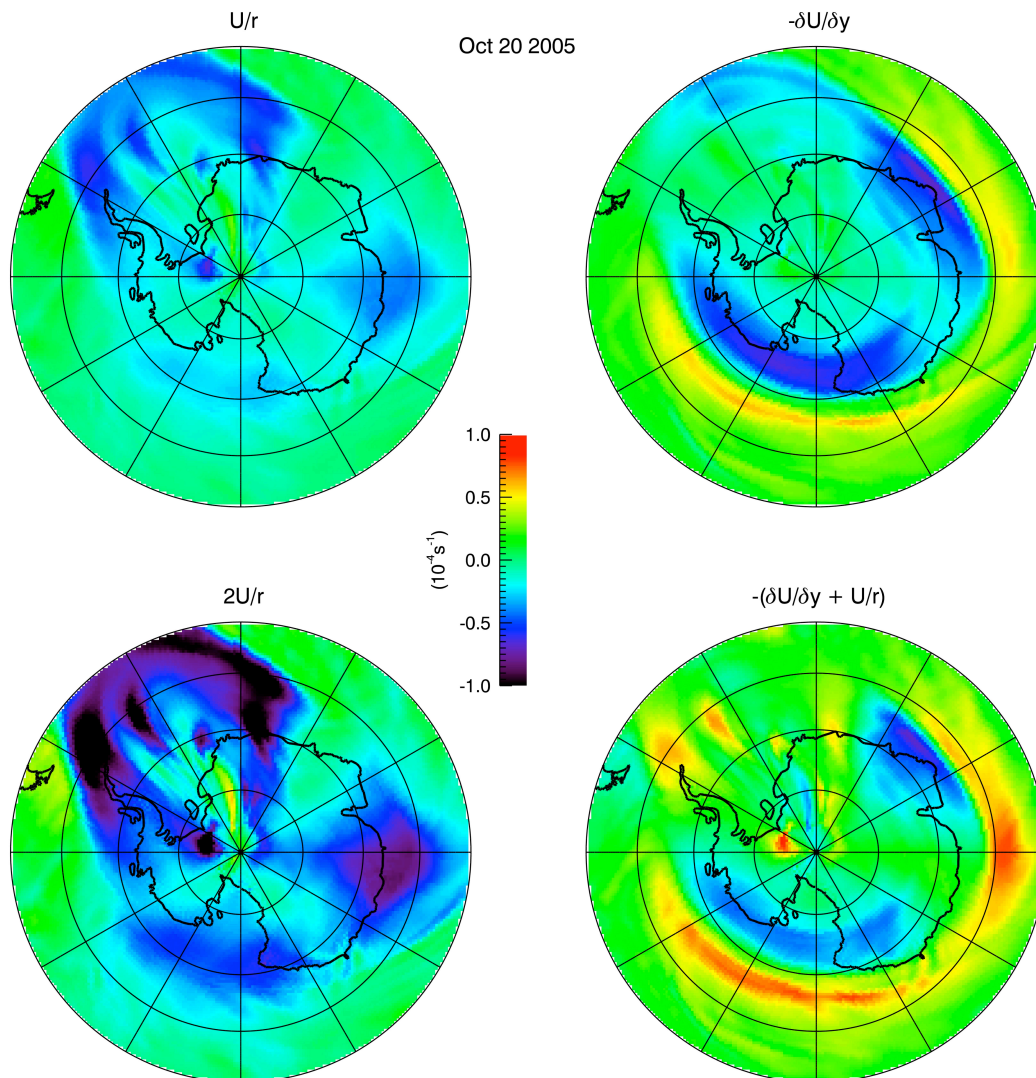
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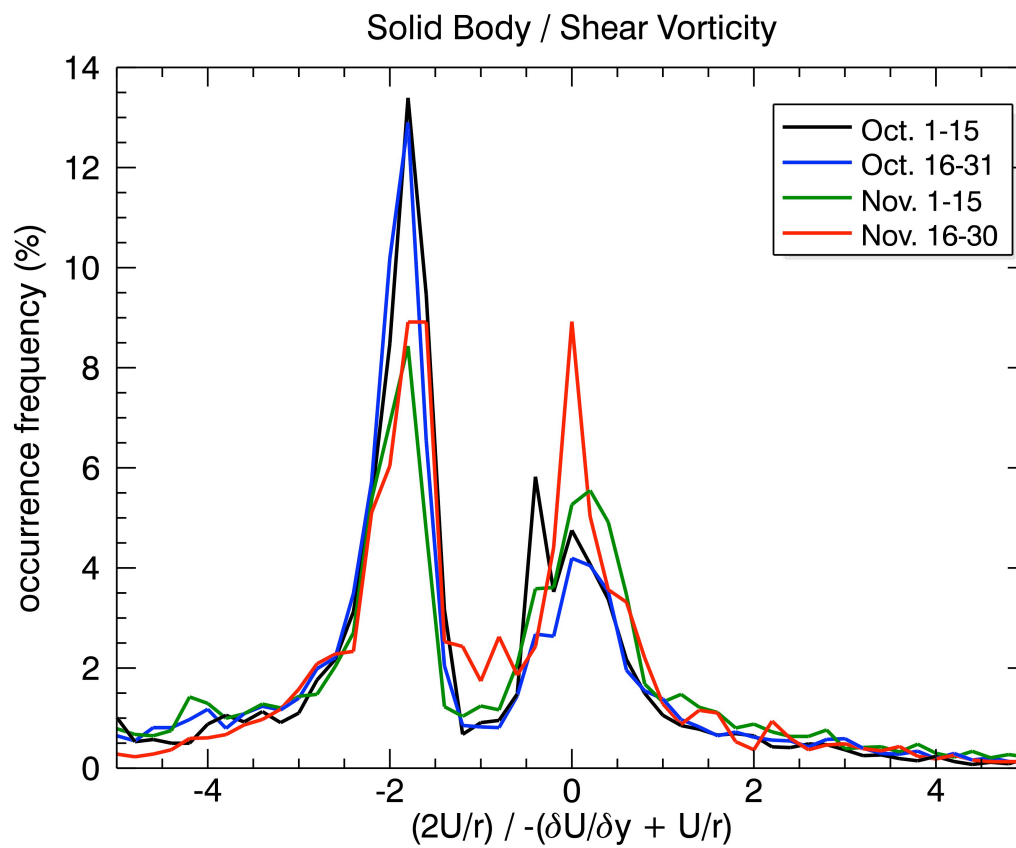


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